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Technology Center 2100

GAC 2171 \$



Attorney's Docket No.: 42390.P6899

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

In Re Application of: Smith, et al.

Examiner: Haythim J. Alaubaidi

Application No. 09/475,653

Art Unit: 2171

Filed: 12/30/1999

First Class Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231-0001 on 7/24/2002.

For: INTELLIGENT AGENT BASED E-MAIL NOTIFICATION SYSTEM

*Judy L. Steinkraus*  
Judy L. Steinkraus

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231-0001

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SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.  
A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.  
No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	*26	Minus	**26	0
Indep. Claims	*4	Minus	***4	0
	First Presentation of Multiple Dependent Claim(s)			

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X42	\$
+140	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$
X84	\$
+280	\$
Total Add. Fee	\$ 0

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

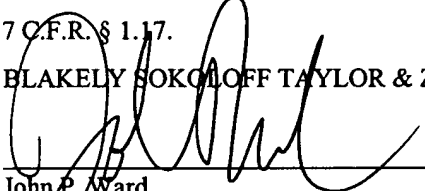
A check in the amount of \$                      is attached for presentation of additional claim(s).  
  X   Applicants hereby Petition for an Extension of Time of one month pursuant to 37 C.F.R. § 1.136(a).  
  X   A check for \$110.00 is attached for processing fees under 37 C.F.R. § 1.17.  
       Please charge my Deposit Account No. 02-2666 the amount of \$                     .

**A duplicate copy of this sheet is enclosed.**  
  X   The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy of this sheet is enclosed):

  X   Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

  X   Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

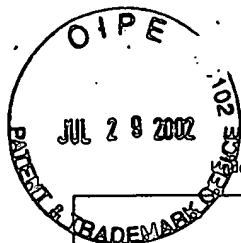
  
John P. Ward

Reg. No. 40,216

Date: 07/24/2002

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PTO/SB/17(09/00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL FOR FY 2002****TOTAL AMOUNT OF PAYMENT \$110.00**

Complete if Known:

Application No. 09/475,653

Filing Date 12/30/1999

First Named Inventor Smith, et al.

Group Art Unit 2171

Examiner Name Haythim J. Alaubaidi

Attorney Docket No. 42390.P6899

METHOD OF PAYMENT (check one)

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1. ☒ The Commissioner is hereby authorized to charge deficiencies in fees and credit any over payments to:

Deposit Account Number 2-2666

Deposit Account Name \_\_\_\_\_

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: ☒ Check  
\_\_\_\_\_ Money Order  
\_\_\_\_\_ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80

**Fee Description**

Utility application filing fee  
Design application filing fee  
Plant filing fee  
Reissue filing fee  
Provisional application filing fee

**Fee Paid**

SUBTOTAL (1) \$ \_\_\_\_\_

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ORIGINALLY FILED**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims _____	- 20** = _____	<input checked="" type="checkbox"/> _____	= _____
Independent Claims _____	- 3** = _____	<input checked="" type="checkbox"/> _____	= _____
Multiple Dependent _____		_____	= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9

**Fee Description**

Claims in excess of 20  
Independent claims in excess of 3  
Multiple dependent claim, if not paid  
\*\*Reissue independent claims over original patent  
\*\*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ \_\_\_\_\_

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
099	8,800	099	8,800	Request for inter parties reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility Issue fee (or reissue)	
143	460	243	230	Design Issue fee	
144	620	244	310	Plant Issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
148	110	248	55	Statutory Disclaimer	
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal pub.	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$110.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: John P. WardSignature: \_\_\_\_\_ Date: July 24, 2002Reg. Number: 40,216 Telephone Number: (408) 720-8300 x237